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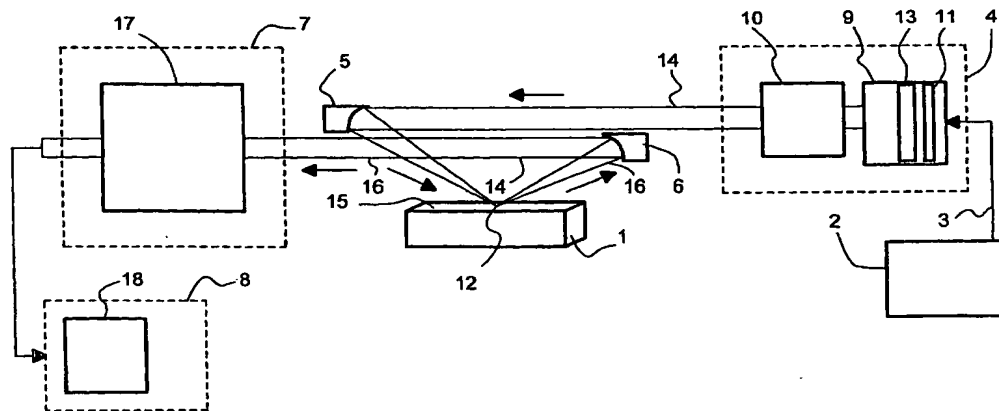
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ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.

(54) Title: ACHROMATIC SPECTROSCOPIC ELLIPSOMETER WITH HIGH SPATIAL RESOLUTION



(57) Abstract: The invention concerns an achromatic spectroscopic ellipsometer for analysing small regions of a sample (1) over a wide range of wavelengths from ultraviolet (UV) to infrared (IR). The spectroscopic ellipsometer contains a light source (2) emitting a light beam (3). The light beam (3) goes through a polarisation state generator section (4) before being focused at an incidence angle q by a first parabolic mirror (5) to a small spot on sample (1). A second parabolic mirror (6) collects the reflected beam (16) and connects said beam to an analysing section (7). The reflected beam (16) emerges from the analysing section (7) to go to means (8) for detecting and analysing a spectroscopically said beam. According to the invention, the light beam (3) through the polarisation state generator section (4) up to the first parabolic mirror (5) and the light beam from the second mirror (6) through the analysing section (7) are parallel enabling achromatism. The incidence angle q is largely varied without shifting of the location of the small spot on the sample surface.



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Achromatic spectroscopic ellipsometer with high spatial resolution.

5 The invention relates to ellipsometric systems with an achromatic design for analysing small area of a sample over a wide of wavelengths from ultraviolet (UV) to infrared (IR).

Spectroscopic ellipsometry is a well-known non destructive probe to analyse the properties of a sample (or a layer over a sample). The surface of the sample is illuminated by a luminous
10 beam that is reflected and the polarisation state of the reflected beam (that may be transmitted) is compared to that of the incident beam. This technique allows determining different properties of said sample. These properties may be, for example, the thickness and optical properties of different coatings (single layer or multiple
15 layers) deposited on any substrate.

In conventional ellipsometry, the polarisation vector E of a beam is generally represented by its projections Es and Ep, respectively perpendicular and parallel to the incidence plane. The ratio denoting the change in the polarisation state produced by the
20 interaction of a beam with a surface studied is generally represented by the complex quantity:

$$\rho = \tan \Psi \exp(i\Delta) = (E_p/E_s)^r / (E_p/E_s)^i$$

The aim therefore is to measure the independent parameters Ψ and Δ for a given surface.

25 A description of ellipsometry and ellipsometer systems can be found in the book of AZZAM and BASHARA entitled "Ellipsometry and Polarised Light", North-Holland, Amsterdam, 1977. Different types of spectroscopic ellipsometers exist in the industry: rotating analyser (ASPINES D.E. et al; Appl. Opt. (1975)
30 200), rotating polariser, rotating compensator and phase modulated ellipsometer (BOYER G.R. et al. Appl. Opt. 18 (1979) 217).

Due to the high integration in microelectronics and the new developed materials, high sensitivity measurements of optical
35 properties are required.

It is thus highly desirable to obtain a small and compact spot of the focused beam on the sample surface and to have a

large range of incidence angle so that the ellipsometer has a high degree of sensitivity for film variations on various substrates. The focused beam on the surface should however show no aberrations in order to fit the microscopic structures.

5 The current state of the art ellipsometers employ reflective optics to avoid geometric aberrations and to obtain a continuous incident angular range.

10 In "Angular scanning mechanism for ellipsometers", BYRNE D.M. and MAC FARLANE D.L.; Appl. Optics, 30 (1991) 4471, an ellipsometer was proposed in which a pair of stationary concave ellipsoidal mirrors had respectively at their near focus a rotating flat mirror and at their coincident far focus, a sample. By rotating the flat mirror, it was demonstrated a continuously varying angle of incidence on the sample while maintaining a fixed point of incidence. A large range of incidence angle could be achieved with a control on said angle variation only depending on the characteristics of the ellipsoid.

15 However, since the beam was incident on the mirrors with an angle different from zero, the reflection of said beam introduced a change in the polarisation. These modifications had to be measured and taken into account in the data analysis.

20 More recently, PIWONKA - CORLE et al. [US Patent 5,910,842] have demonstrated the use of mirrors with elliptical shape surfaces to reduce off-axis aberrations such as «coma» in the focused beam.

25 The described system showed however chromatic aberrations. These aberrations could only be minimised by employing Rochon prisms for the first polariser and the analyser, whose length along the axis of propagation of the light beam was minimised.

30 The incidence angle range was limited to angles superior to 60° from the normal to the surface to limit the modifications in the beam polarisation upon reflection on the mirrors.

35 Although, PIWONKA-CORLE et al. tried to minimise them, both previously described systems showed chromatic aberrations.

The purpose of the invention is to remedy the shortcomings mentioned above and to propose a system having one or more of the following features and advantages: namely, an achromatic design, a large range of incidence angle, a small spot of the focused beam on the sample surface, a simple and compact design, a straightforward use and a fast data acquisition.

To this end, the invention concerns a spectroscopic ellipsometer, comprising:

- a light source emitting a light beam,
- 10 • a polarisation state generator section containing a collimation optic collimating said beam and a generator of polarisation that polarises the beam,
- a first mirror focusing the beam to a small spot on the surface of a sample at an incidence angle θ ,
- 15 • a second mirror connecting the beam modified by the sample to an analysing section comprising a polarisation analyser that analyses the beam,
- means for detecting and analysing spectroscopically said beam.

20 According to the invention,

- the first and second mirrors are parabolic mirrors,
- the light beam through the polarisation state generator section up to the first mirror is parallel enabling achromatism,
- the light beam from the second mirror through the analysing section is parallel enabling achromatism,
- 25 • said incidence angle θ is largely varied without shifting of the location of the small spot on the sample surface.

We mean by «largely varied», the value of the incidence angle θ can be changed from 0 to 90 degrees. We also mean by 30 "the beam modified by the sample", the transmission or reflection of the beam by the sample that changes its polarisation state.

According to various embodiments, the present invention also concerns the characteristics below, considered individually or in all their technical possible combinations:

- 35 • the generator of polarisation is a photoelastic modulator,
- the generator of polarisation is a rotating analyser,

- the generator of polarisation is a rotating polariser,
- the generator of polarisation is a rotating compensator,
- the polarisation state generator section and the analysing section are translated vertically with respect to the parabolic mirrors to vary the incidence angle θ ,
- both mirrors and the sample are vertically translated with respect to the analysing section and polarisation state generator section to vary the incidence angle θ ,
- the incidence angle θ is varied between 0° and 90° ,
- the said two parabolic mirrors have the same optical characteristics,
- the axis of both parabolic mirrors and the sample surface are merged,
- both parabolic mirrors are positioned symmetrically with respect to a plane passing by their optical axis and being normal to the sample surface,
- the shape of the parabolic mirrors is manufactured by diamond turning,
- the distance from the polarisation state generator section to the sample, and the distance from the analysing section to the sample are optimised to avoid diffraction influence created by diamond turning artefact,
- the parabolic mirrors are treated with a post-polishing process,
- the size of the spot is close to the diffraction limits.

To facilitate further description of the invention, the following drawings are provided in which:

Figure 1 is a schematic view of a first embodiment of the invention.

Figure 2 is a schematic view of a second embodiment of the invention.

Figure 3 is a schematic view of a third embodiment of the invention.

Figure 4 shows the relative movement of the polarisation state generator section and analysing section with respect to both parabolic mirrors to vary the incidence angle θ .

Figure 5 shows the relative movement of both parabolic mirrors and the sample with respect to the polarisation state generator section and analysing section to vary the incidence angle θ .

5 These drawings are provided for illustrative purposes only and should not be used to unduly limit the scope of the invention.

Ellipsometry is a powerful probe to obtain optical measurements on thin films. The optical reflectivity of a thin film (single or multilayers) is determined by measuring the change in
10 the polarisation state of a light beam upon reflection on said thin film. The purpose of the present invention is to disclose a spectroscopic ellipsometric system having a wide spectral bandwidth from ultraviolet (UV) to infrared (IR), an achromatic focused beam and a large range of incidence angles. We shall call
15 hereinafter the « incidence angle », the angle at which the focused beam strikes the sample surface with respect to the normal to the surface. For example, a beam with normal incidence at the sample surface has an incidence angle of zero degree.

We shall call as well a « small spot », a compact spot on
20 sample with preferably a square-shape, said spot being obtained by projection onto the sample surface of a beam whose transversal dimensions prior to said projection are typically inferior to $25\text{ }\mu\text{m} \times 25\text{ }\mu\text{m}$.

Hereinafter preferred embodiments of the invention will be
25 described with reference to the appended drawings. Figure 1 shows a first embodiment of the ellipsometric system.

The ellipsometric system aims to measure a sample 1. Said system comprises a light source 2 emitting a light beam 3. This beam 3 shows in a first embodiment a continuous spectrum of
30 wavelengths ranging typically from the ultraviolet (UV) to the infrared (IR) regions of the electromagnetic radiation spectrum. In a second embodiment, the beam contains a flux centred on a single wavelength λ .

The system also comprises a polarisation state generator
35 section 4, a first 5 and a second 6 parabolic mirrors, an analysing

section 7 and means 8 for detecting and spectroscopically analysing said beam.

The light beam 3 emitted by the light source 2 goes first through the polarisation state generator section 4. Said section
5 contains a collimation optic 9 collimating the light beam 3 and a generator of polarisation 10 that polarises said beam 3. The collimation optic 9 comprises a pinhole 11 placed at the focus of the collimation optic 9 to control the desired size of the spot 12 on the sample 1, and a diaphragm 13 to control the aperture of the
10 beam 3.

The generator of polarisation 10 polarises the beam 3 propagating through it, and the polarisation state of the polarised beam 14 emerging from it is hence precisely known.

Polarised beam 14 emerges from the polarisation state
15 generator section 4 to fall on the first parabolic mirror 5. Through the polarisation state generator section 4 up to the first parabolic mirror 5, the polarised beam 14 is a parallel beam. Advantageously, the beam 14 is therefore achromatic.

Polarised beam 14 is then reflectively focused by the first
20 parabolic mirror 5 on the surface 15 of the sample 1.

In a preferred embodiment, the axis of the mirror 5 is merged with the sample surface 15 and the sample is therefore positioned at the focus of the mirror 5.

Advantageously, both parabolic mirrors 5, 6 are manufactured
25 by diamond turning. Since diamond turning may create some grooves on the surface of the parabolic mirrors 5, 6 a post-polishing process is used. This process consists firstly in a nickel (Ni) layer (of 1000 Å) deposit followed by a polishing step in order to minimise the grooves on the mirrors. Finally, a copper (Cu) or an
30 aluminum (Al) layer (of 1000 Å) is deposited on top.

The second parabolic mirror 6 receives the light beam 16 reflected from the sample 1. In a preferred embodiment, the first 5 and second 6 parabolic mirrors are rotated and positioned such as to obtain a symmetrical system with respect to a plane passing by
35 their common respective axis, said plane being normal to the sample surface. Both mirrors 5, 6 have in this embodiment the

same optical characteristics. In a particular implementation, the focal length is $f=50.8\text{mm}$ and the diameter= 25.4mm .

Advantageously, their axis are merged with the sample surface 15.

5 In a preferred embodiment, the distance from the polarisation section generator 4 to the sample 1, and the distance from the analysing section 7 to the sample 1 are optimised to avoid diffraction influence created by diamond turning artefact.

10 In a particular embodiment, spatial filters are placed on the path of the beam 14, 16 before and after reflection on the sample 1. These filters reduce possible side effects resulting from the presence of grooves on the surface of the parabolic mirrors 5, 6. After collection by the second parabolic mirror 6, the reflected light beam 16 goes in a parallel beam through the analysing section 7.

15 The analysing section 7 contains a polarisation analyser 17 to analyse the change in the polarisation state of the polarised beam 14 upon reflection at sample 1.

The generator of polarisation 10 of the embodiment described up to here is for example a rotating polariser, i.e. a polariser that
20 rotates about the optical axis while the polarisation analyser 17 remains fixed. Alternatively, a photoelastic modulator can be used in place of the rotating polariser. In another embodiment, the analyser 17 may rotate during measurement of a sample while the polariser is fixed. The generator of polarisation 10 is hence a
25 rotating analyser. Other particular embodiments employ as a generator of polarisation 10, a rotating compensator.

To obtain additional information about a given film or to measure a complicated film stack, it is necessary to perform measurements with various initial settings. The variable
30 parameter may then be the wavelength at which the measurement is performed or the incidence angle θ .

In a particular implementation, the incidence angle θ is fixed and the light beam has a continuous spectrum of wavelengths. The reflected beam 16 emerging from the analysis section 7 goes
35 to means 8 for detecting and spectroscopically analysing said beam. In a preferred embodiment, these means 8 contains a

detector 18 that is able to acquire simultaneously several wavelengths.

Figure 2 shows a second embodiment of the present invention. This embodiment is the same as the first embodiment, but is different from the first embodiment in that it contains a monochromator 19. In Figure 2, the same marks as those of Figure 1 show the same things. That is to say, a monochromator 19 is provided on the light path connecting the light source 2 and the polarisation state generator section 4. The monochromator 19 selects a single wavelength among the continuous spectrum of the light beam 3 emitted by the broadband light source 2. The selection is particularly useful to study a continuous spectrum or a particular wavelength.

Figure 3 shows a this embodiment of the present invention. The same marks in Figure 3 as those in Figure 1 show the same things.

In this respect, this embodiment makes no difference from the first embodiment in the respective constitutions of the ellipsometric system from the polarisation analyser 17 to the generator of polarisation 10, but is different from the first embodiment in the points of arranging the light source 2, the collimation optic 9 and the means 8 for detecting and analysing spectroscopically the light beam. That is to say, the light source 2 emits a light beam 3 which goes to the collimation optic 9. Said beam 3 emerges from the collimation optic 9 to go through the polarisation analyser 17. The means 8 for detecting and analysing the reflected beam 16 from sample 1 are placed after the generator of polarisation 10. For all the embodiments described up to now in figure 1 to 3, it is desirable to vary the incidence angle θ at fixed wavelength measurements.

Figure 4 shows a first embodiment, in which the polarisation state generator section 4 and the analysing section 7 are translated vertically with respect to the parabolic mirrors 5, 6 to vary the incidence angle θ .

Figure 5 shows a second embodiment, in which both mirrors 5, 6 and the sample 1 are vertically translated with respect to the

analysing section 7 and the polarisation state generator section 4 to vary the incidence angle θ .

Advantageously, the incidence angle θ can be largely varied, i.e. said angle can take any value between 0° and 90° .

5 The specifications of the ellipsometric system according to the invention are provided for illustrative purposes only and should not be used to unduly limit the scope of the present invention. For example, the spectroscopic ellipsometer is not limited to measurements performed in reflection, i.e. the change of the
10 polarisation state of the beam occurs upon reflection of said beam on a sample surface but can be adapted for transmission measurements. In the latter case, both parabolic mirrors 5, 6 have in a preferred embodiment common respective axis merged with the sample surface and are symmetrically positioned with respect
15 to the focus of the parabolic mirror. The state of polarisation of the beam changes continuously as light progresses through a medium. [Azzam and Bashara, in "Ellipsometry and polarized light", North-Holland, Amsterdam, 1977].

CLAIMS

1. Spectroscopic ellipsometer (1) comprising :

- a light source (2) emitting a light beam (3),
- a polarisation state generator section (4) containing a collimation optic (9) collimating said beam (3) and a generator of polarisation (10) that polarises the light beam,
- a first mirror (5) focusing the beam (3) to a small spot on the surface of a sample (1) at an incidence angle θ ,
- a second mirror (6) connecting the beam modified by the sample (1) to an analysing section (7) comprising a polarisation analyser (17) that analyses the beam,
- means (8) for detecting and analysing spectroscopically said beam,

wherein

- the first (5) and second (6) mirrors are parabolic mirrors,
- the light beam through the polarisation state generator section (4) up to the first mirror (5) is parallel enabling achromatism,
- the light beam from the second mirror (6) through the analysing section (7) is parallel enabling achromatism,

and

- said incidence angle θ is largely varied without shifting of the location of the small spot on the sample surface (1).

2. Spectroscopic ellipsometer according to claim 1, wherein the generator is polarisation (10) is a photoelastic modulator.

3. Spectroscopic ellipsometer according to claim 1, wherein the generator of polarisation (10) is a rotating analyser.

4. Spectroscopic ellipsometer according to claim 1, wherein the generator is polarisation (10) is a rotating polariser.

5. Spectroscopic ellipsometer according to claim 1, wherein the generator of polarisation (10) is a rotating compensator.

6. Spectroscopic ellipsometer according to any one of claims 1 to 5, wherein the polarisation state generator section (4) and the analysing section (7) are translated vertically with respect to the parabolic mirrors (5, 6) to vary the incidence angle θ .

7. Spectroscopic ellipsometer according to any one of claims 1 to 5 wherein both mirrors (5, 6) and the sample (1) are vertically translated with respect to the analysing section (7) and polarisation state generator section (4) to vary the incidence angle θ .
8. Spectroscopic ellipsometer according to any one of claims 6 and 7, wherein the incidence angle θ is varied between 0° and 90° .
9. Spectroscopic ellipsometer according to any one of claims 1 to 8 wherein the said two parabolic mirrors (5, 6) have the same optical characteristics.
10. Spectroscopic ellipsometer according to any one of claims 1 to 9, wherein the axis of both parabolic mirrors (5, 6) and the sample surface are merged.
11. Spectroscopic ellipsometer according to claim 10 wherein both parabolic mirrors (5, 6) are positioned symmetrically with respect to a plane passing by their optical axis and being normal to the sample surface.
12. Spectroscopic ellipsometer according to any one of claims 1 to 11, wherein the shape of the parabolic mirrors (5, 6) is manufactured by diamond turning.
13. Ellipsometric system according to claim 12, wherein the distance from the polarisation state generator section (4) to the sample (1), and the distance from the analysing section (7) to the sample (1) are optimised to avoid diffraction influence created by diamond turning artefact.
14. Spectroscopic ellipsometer according to claim 13, wherein the parabolic mirrors (5, 6) are treated with a post-polishing process.
15. Spectroscopic ellipsometer according to claim 1 to 14, wherein the size of the spot is close to the diffraction limits.

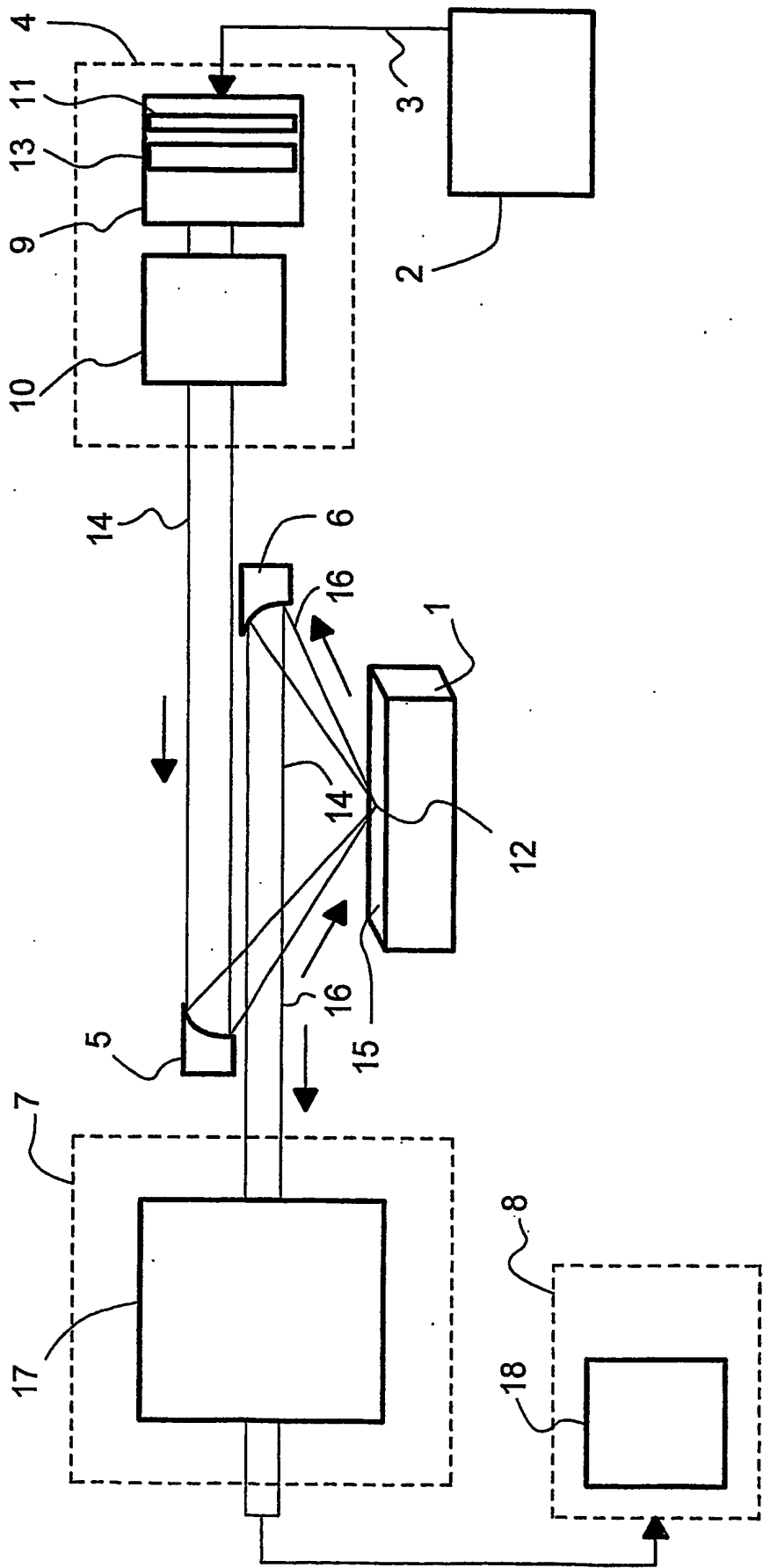


FIGURE 1

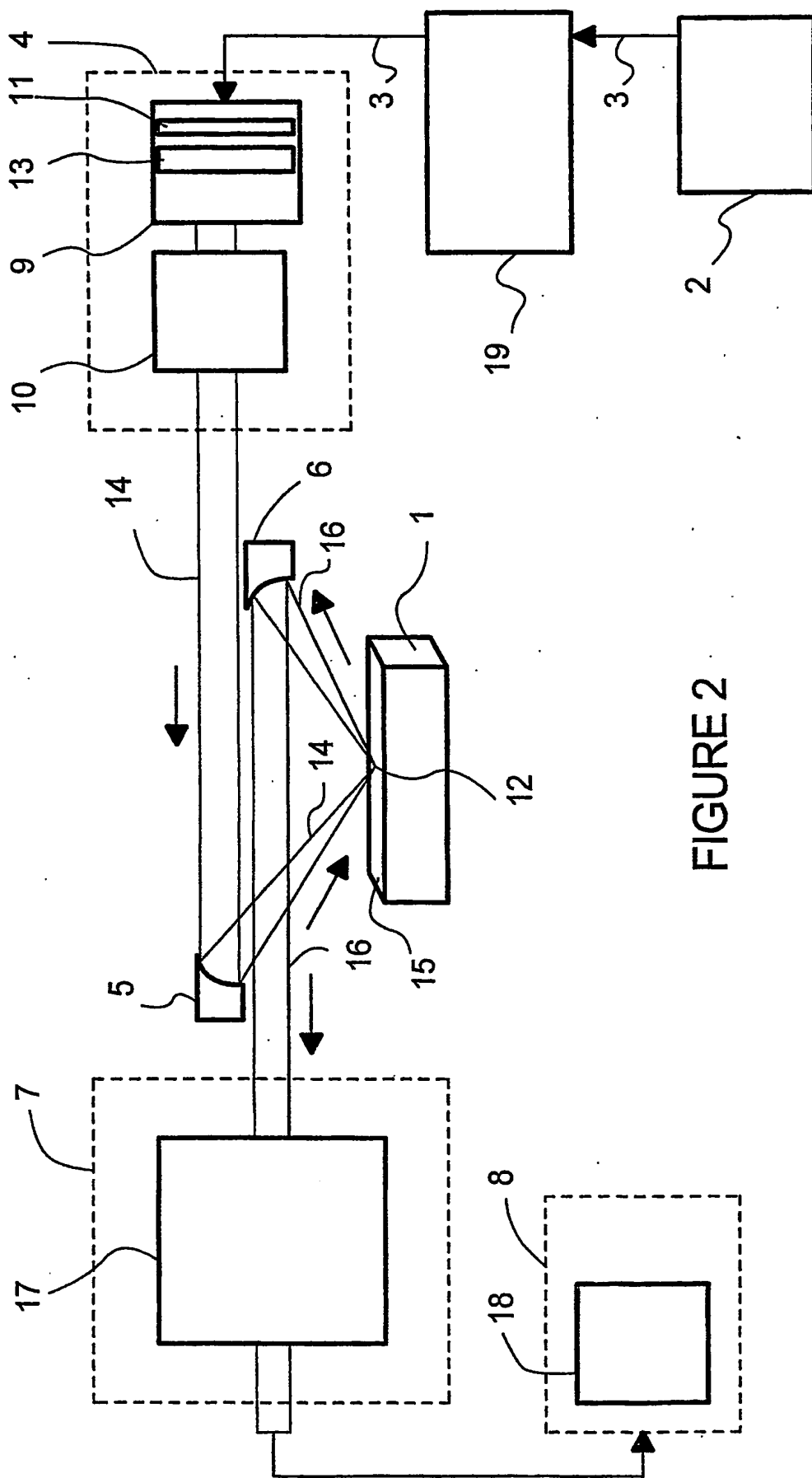


FIGURE 2

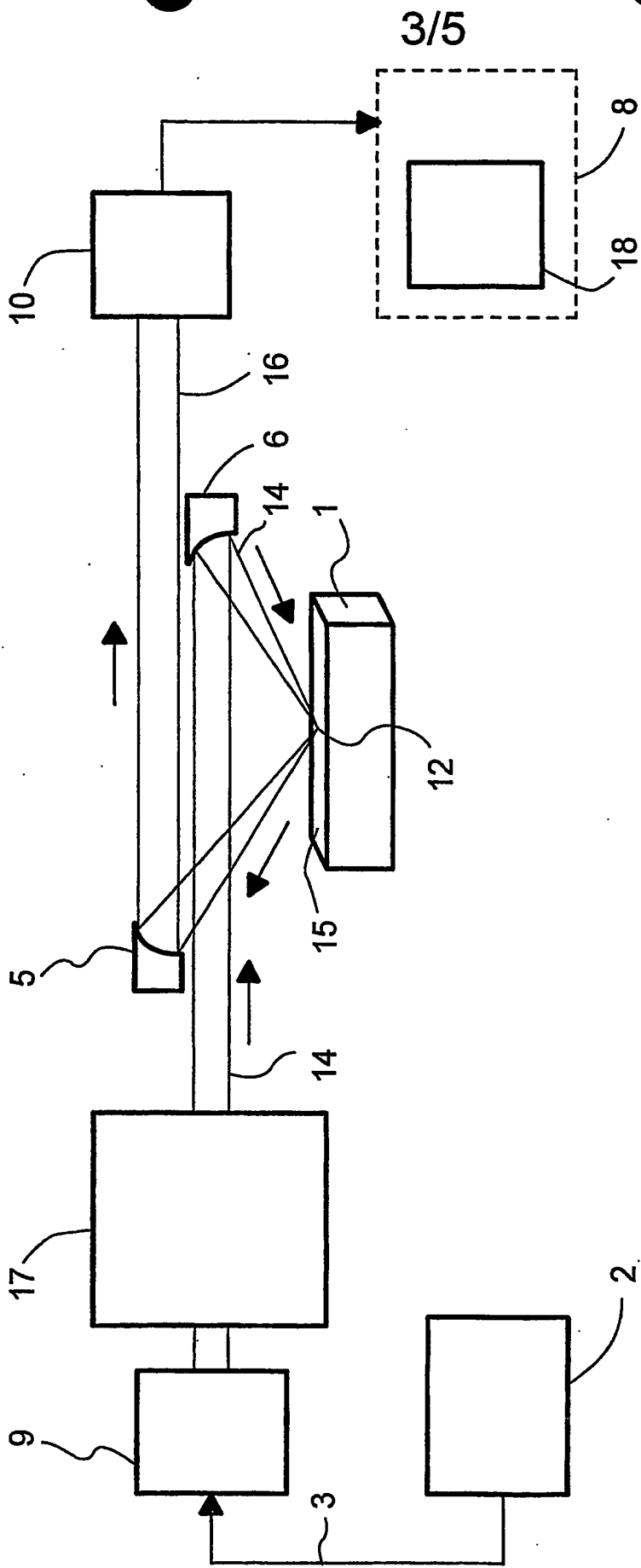


FIGURE 3

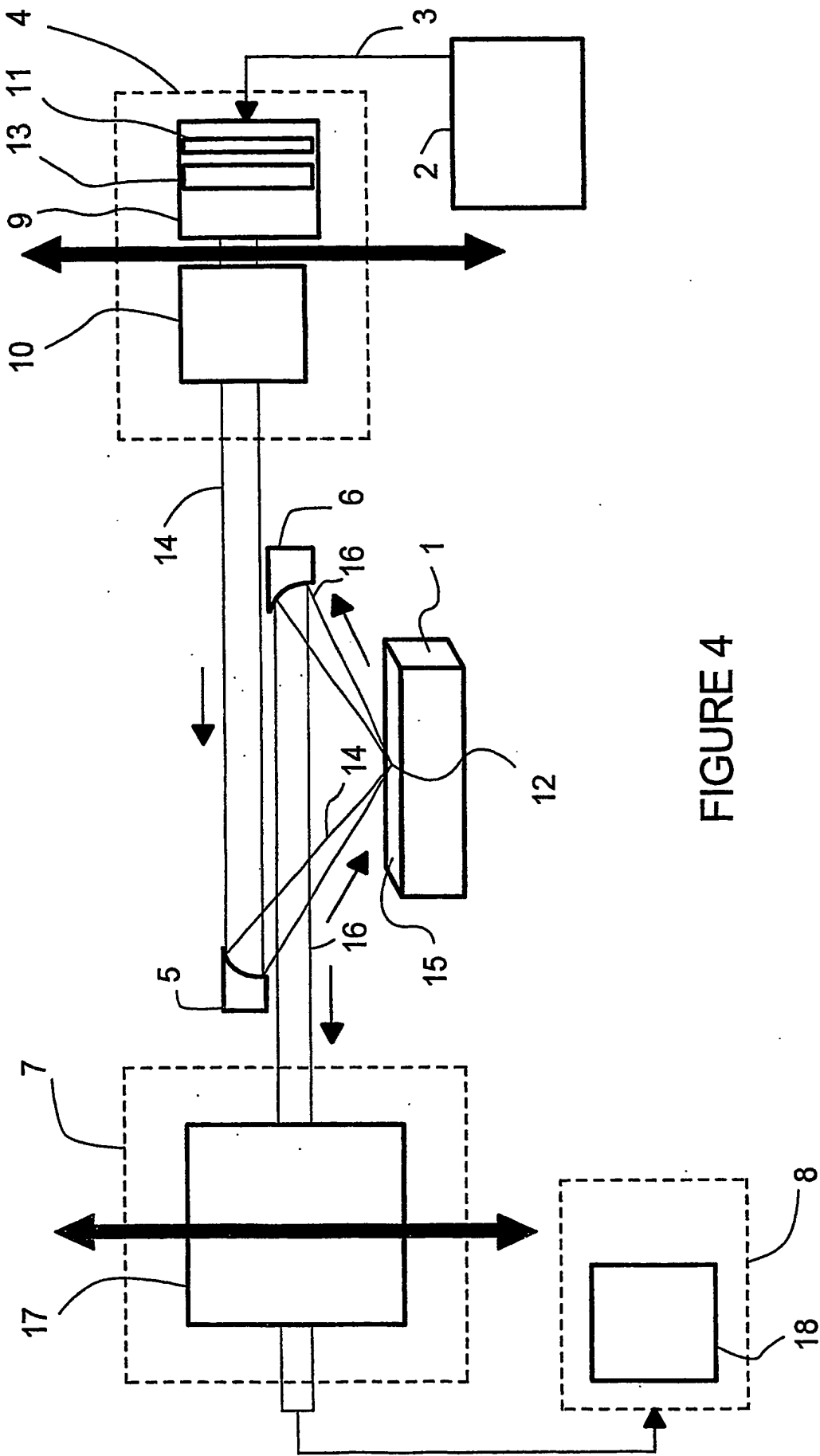


FIGURE 4

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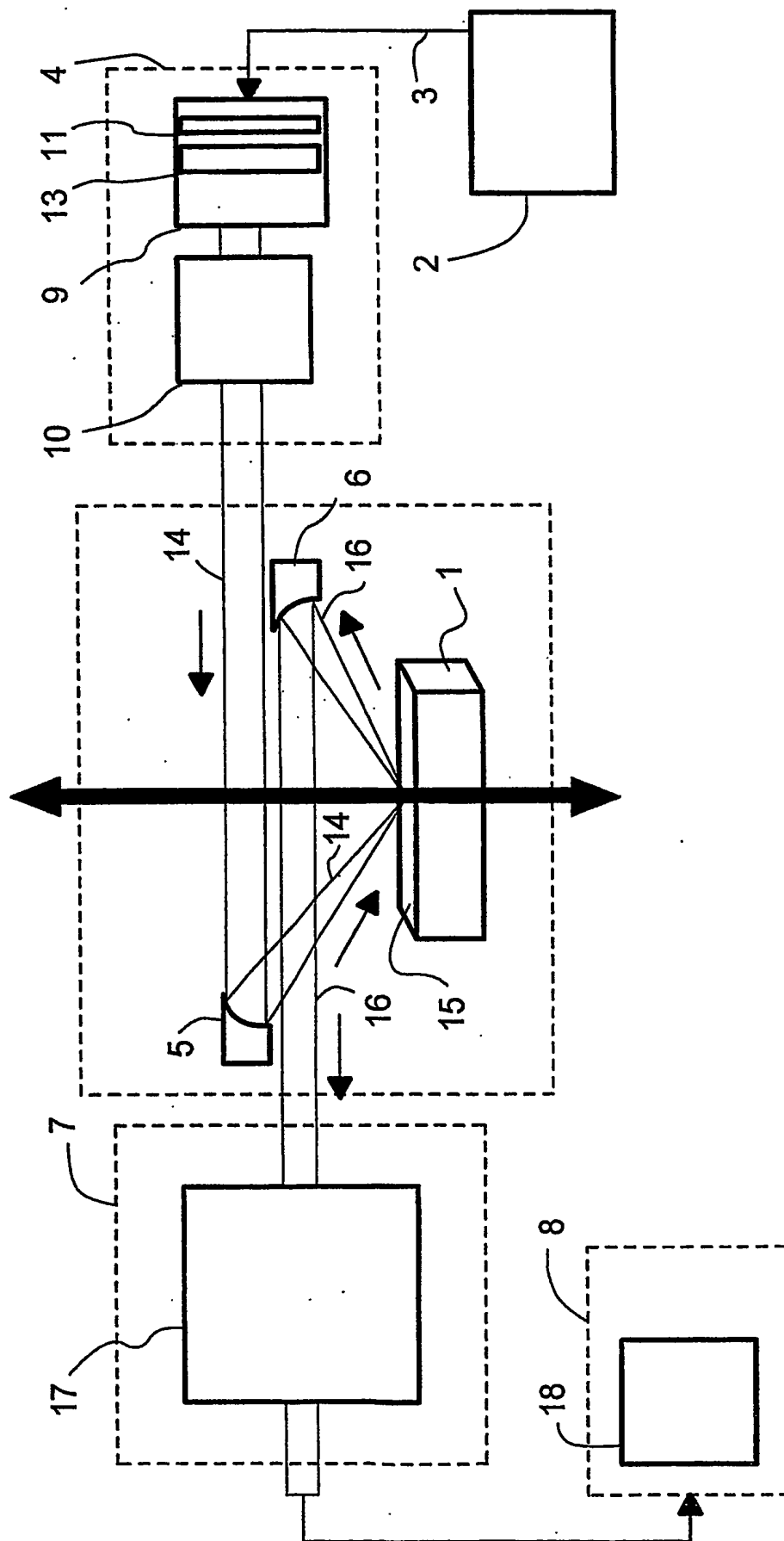


FIGURE 5

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 03/06316

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G01N21/21

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G01N G01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 910 842 A (STEHLE JEAN-LOUIS ET AL) 8 June 1999 (1999-06-08) cited in the application column 7, line 25 - column 8, line 28 column 8, line 58 - column 9, line 23 figures 1,2	1
A	US 4 210 401 A (BATTEN CARMEN E) 1 July 1980 (1980-07-01) column 4, line 26 - line 55 figure 3	1
	-/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

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Date of the actual completion of the international search

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INTERNATIONAL SEARCH REPORT

International Application No

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>BYRNE D M ET AL: "ANGULAR SCANNING MECHANISM FOR ELLIPSOMETERS" APPLIED OPTICS, OPTICAL SOCIETY OF AMERICA, WASHINGTON, US, vol. 30, no. 31, 1 November 1991 (1991-11-01), pages 4471-4473, XP000237482 ISSN: 0003-6935 page 4471, column 1 -column 2, paragraph 1 figure 1</p> <p>-----</p>	1

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 03/06316

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5910842	A	08-06-1999	US 5608526 A	04-03-1997
US 4210401	A	01-07-1980	NONE	